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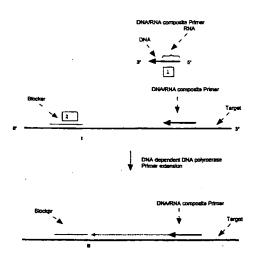
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[Continued on next page]

(54) Title: METHODS AND COMPOSITIONS FOR TRANSCRIPTION-BASED NUCLEIC ACID AMPLIFICATION



(57) Abstract: Methods for isothermal exponential amplification of a target polynucleotide are disclosed. The methods employ two transcription modules, the first module providing linear amplification resulting in RNA transcripts, and a second module providing for further (generally cyclical) amplification resulting in more RNA transcripts. In one aspect, the amplification for the first module is composite primer based. In a second aspect, the amplification of the first module is based on target switching to generate a primer extension product comprising a promoter sequence. In all aspects, the RNA transcripts of the first transcription module are subjected to further amplification by creating an intermediate product comprising a double stranded promoter region from which transcription can occur. The invention further provides compositions and kits for practicing said methods, as well as methods which use the amplification results.



O 02/000938 A3

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Inte nel Application No PCT/US 01/20660

Relevant to claim No.

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 C12Q1/68

C. DOCUMENTS CONSIDERED TO BE RELEVANT

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) IPC 7 C12Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, BIOSIS, EMBASE, MEDLINE, WPI Data, PAJ

Citation of document, with indication, where appropriate, of the relevant passages

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X Furt	her documents are listed in the continuation of box C.	-/ Patent tamily members are listed	in annex.
"A" docume consider filling of "L" docume which citatio other "P" docume o	ategories of cited documents: ent defining the general state of the art which is not the to be of particular relevance document but published on or after the International date and which may throw doubts on priority claim(s) or is cited to establish the publication date of enother nor other special reason (as specified) ent referring to an oral disclosure, use, exhibition or means ent published prior to the international filing date but than the priority date claimed	"T" later document published after the interest or priority date and not in conflict with cited to understand the principle or the invention. "X" document of particular relevance; the cannot be considered novel or cannot involve an inventive step when the document of particular relevance; the cannot be considered to involve an in document is combined with one or manents, such combination being obvior in the art. "&" document member of the same patent	the application but acory underlying the alaimed invention be considered to current is taken alone laimed invention ventive step when the ore other such docu- us to a person skilled
	actual completion of the international search March 2003	Date of mailing of the international sec	arch report

Authorized officer

Tilkorn, A-C

4 March 2003
Name and malling address of the ISA

European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswik Tel. (+31-70) 340-2040, Tx. 81 651 epo nl, Fax. (+31-70) 340-3018

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Form PCT/ISA/210 (continuation of second sheet) (July 1992)

national application No. PCT/US 01/20660

Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
Claims Nos.: 66,67 because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
see FURTHER INFORMATION sheet PCT/ISA/210
Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
see additional sheet
1. As all required additional search fees were timely paid by the applicant, this international Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search tees were timely paid by the applicant. Consequently, this international Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest The additional search fees were accompanied by the applicant's protest.
X No protest accompanied the payment of additional search tees.
A post accompanied the payment of additional account 1966.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-7,10-16, 31,34,36,41-44,54,55,61-63 (completely), 8,9,30,33,38-40,45-53,57-60 (partially)

Methods systems and kits that involve a composite primer comprising an RNA portion and a 3'DNA portion, a DNA-dependent DNA polymerase, an enzyme that cleaves RNA from an RNA/DNA hybrid, a propromoter polynucleotide an RNA-dependent DNA polymerase and an RNA polymerase.

2. Claims: 17-29,32,35,37,56,64,65 (completely),8,9,30,33, 38-40,45-53,57-60 (partially)

Methods, systems and kits involving a propromoter template switch oligonucleotide (TSO), an oligonucleotide, a DNA-dependent DNA polymerase, an RNA-dependent DNA polymerase, an enzyme that cleaves RNA from a RNA/DNA hybrid and an RNA polymerase.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 66,67

Claims 66 and 67 are so unclear that no meaningful search can be carried out (Art 6 PCT):

Claim 66 pertains to a method of determining a sequence, but the claim does not contain a technical feature that contributes to determine a sequence.

Similarly, claim 67 is directed to method of detecing the presence of a nucleic acid sequence in a sample, but the claim lacks technical features that are actually needed for the detection.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

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